



Developing of a safety-critical standard for  
the Swiss railways (SBB) with xUML and UTP

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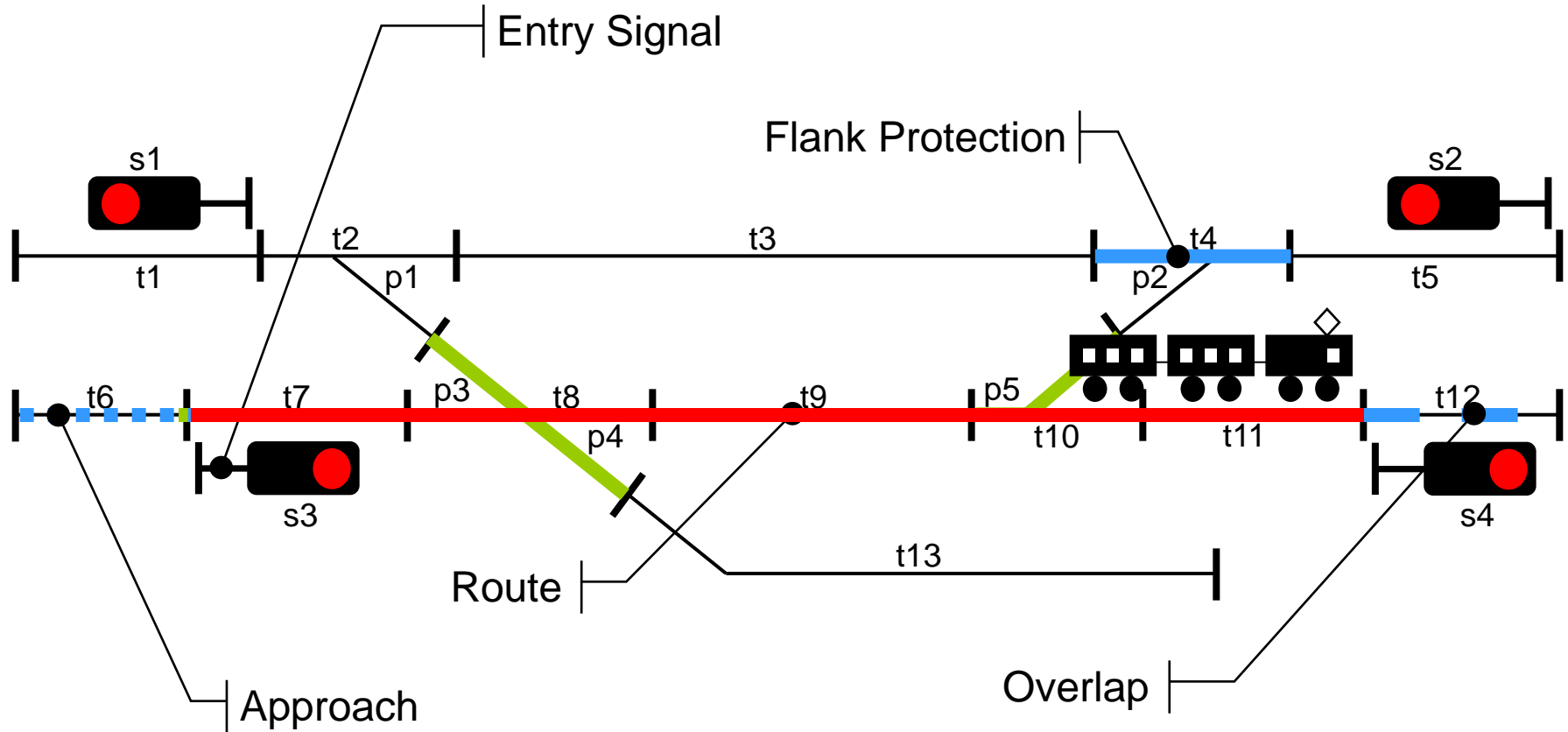


# The Project SwISS

**SWISS**

**Stellwerk Interface Standard SBB**

# What is an Interlocking (IL)?



# Project Background



## Situation in 2010

- There are **multiple suppliers for interlockings** (in Switzerland mainly Siemens and Thales) as well as different types and generations
- There are **no standards** for the communication between interlockings
- Today, interlockings are coupled via **expensive individual solutions**
- There are **many projects** to couple interlockings **in the pipeline**
- **Suppliers agreed to cooperate** on a standardization
- **DB and ÖBB** face **similar problems**

## SBB's call for tender 2010

- **Development of an interface standard** between interlockings
- **Preliminary study and concept** for standardizing interfaces between interlockings and peripherals
- Coordination with DB

# Project Vision and Deliverables



**Vision:** Due to a common interface standard, the Swiss Railways SBB may freely choose between suppliers in every interlocking and/or peripheral project.

## Primary Deliverables:

- SwISS Vocabulary
- Specification SwISS Communication Layer
- Specification SwISS Application Layer Interface
- Executable version of the functional specification
- Test specification with test reports
- Preliminary study and technical concept for peripheral interface standard for peripherals

**NO software – no code**

## Secondary Deliverables:

Project context, methodical approach, requirements catalogues, operational processes



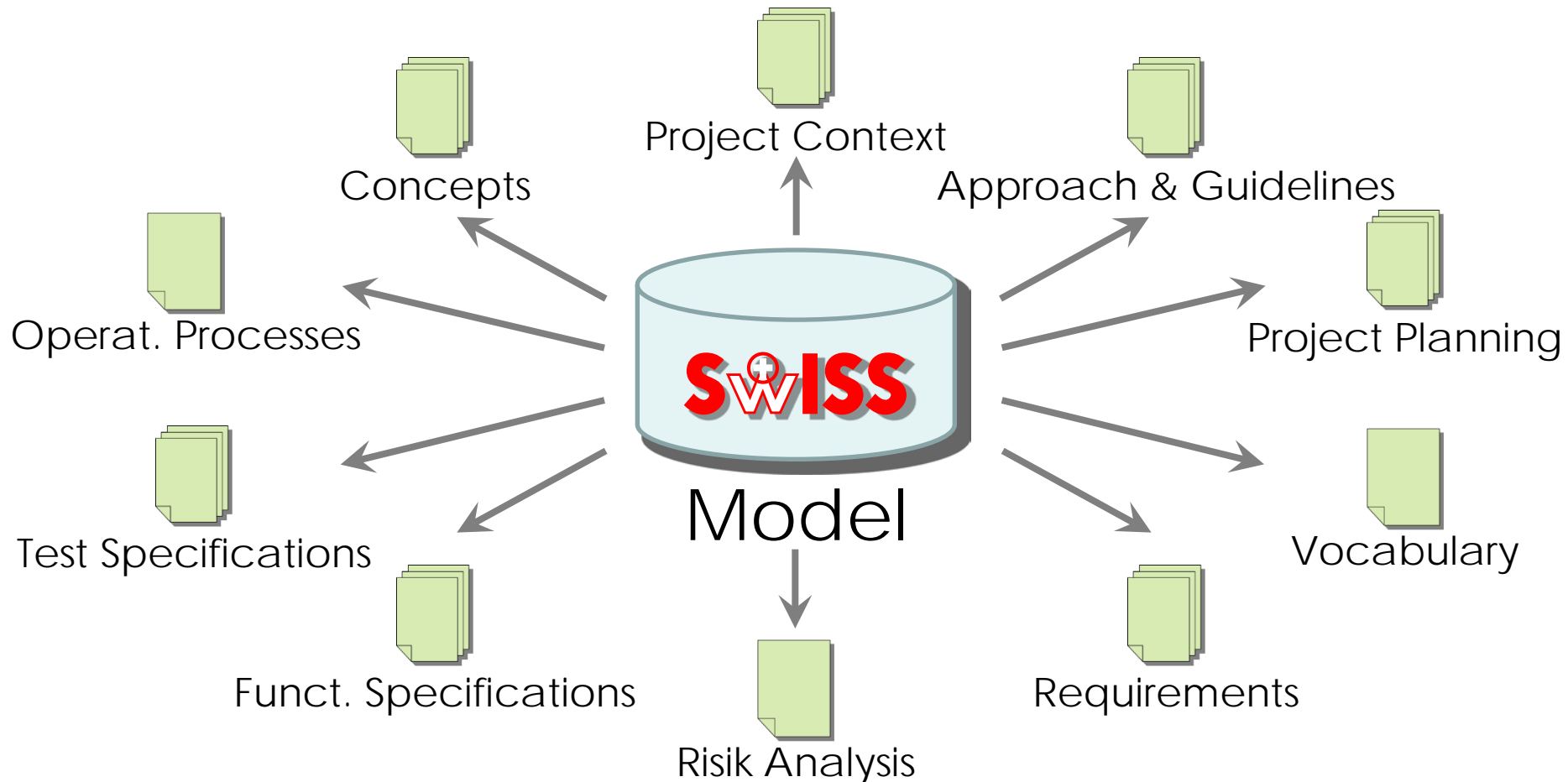
# Methodical Approach

"Total Modeling" – Everything is a Model

# Principle 1: The Model is the Project



One model as central repository of all project information

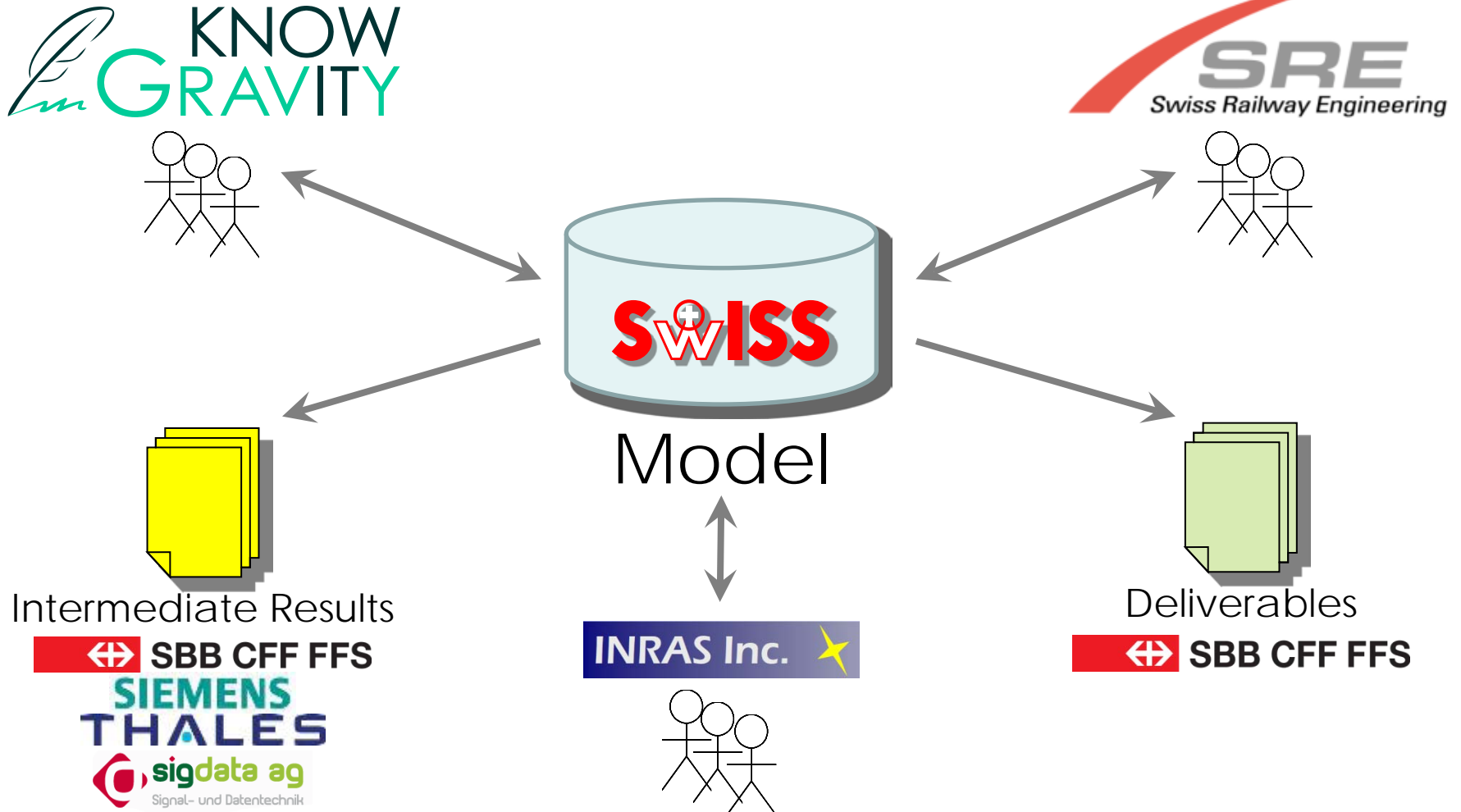


**All documents are automatically generated – no manual authoring!**

# Prinziple 2: Parallel Modelling



One model – decentral and parallel elaboration by 3 companies

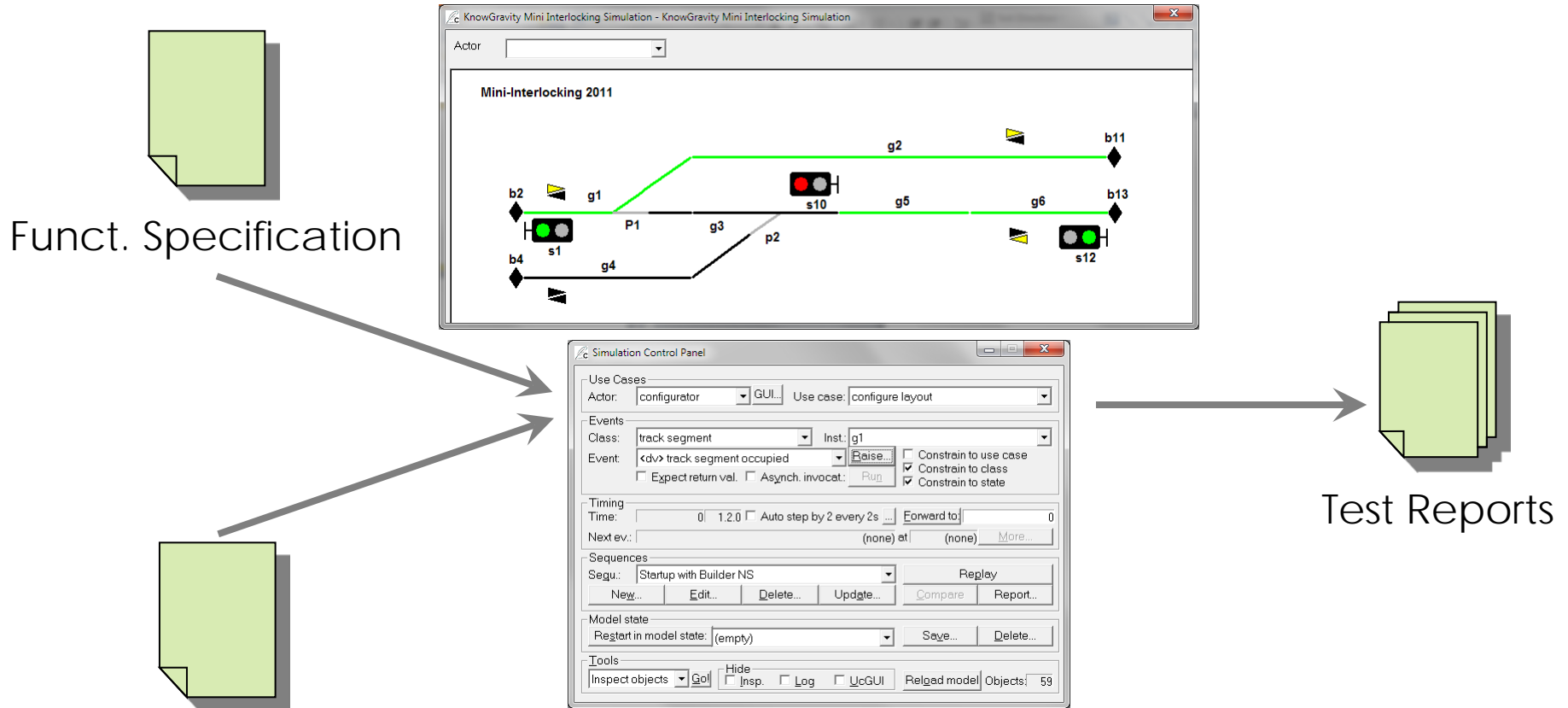




# Prinziple 3: Testable Specifications



## Executable and testable specification of interface standard



Test Specification

CASSANDRA/xUML

for Reviews and Regression Tests

# xUML: Raising the level of abstraction



**Abstraction:** Any technique to generalize by ignoring or hiding details in order to identify commonalities among different pieces and to get a grip on the complexity of a designed system such as a software system.

## 1st Generation

```
.begin
.org 2048
a_start .equ 3000
2048 ld length,%
2064 be done
2068 addcc %r1,-4,%r1
2072 addcc %r1,%r2,%r4
2076 ld %r4,%r5
2080 ba loop
2084 addcc %r3,%r5,%r3
2088 done: jmpl %r15+4,%r0
2092 length: 20
2096 address: a_start
.org a_start
3000 a:
```

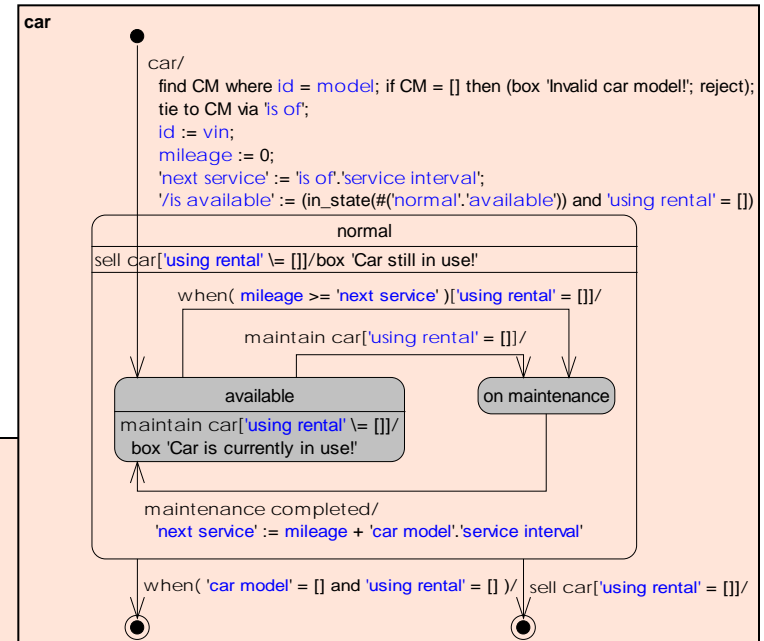
## 2nd Generation

```
class AnimationFrame extends JFrame {
    private Label mStatusLabel;
    private NumberFormat mFormat;

    public AnimationFrame(TextBouncer ac) {
        super();
        setLayout(new BorderLayout());
        add(ac, BorderLayout.CENTER);
        add(mStatusLabel = new Label(), BorderLayout.SOUTH);
        // Create a number formatter.
        mFormat = NumberFormat.getInstance();
        mFormat.setMaximumFractionDigits(1);
        // Listen for the frame rate changes.
        ac.setRateListener(this);
        // Kick off the animation.
        Thread t = new Thread(ac);
        t.start();
    }

    public void rateChanged(double frameRate) {
        mStatusLabel.setText(mFormat.format(frameRate)+" fps");
    }
}
```

## 3rd Generation

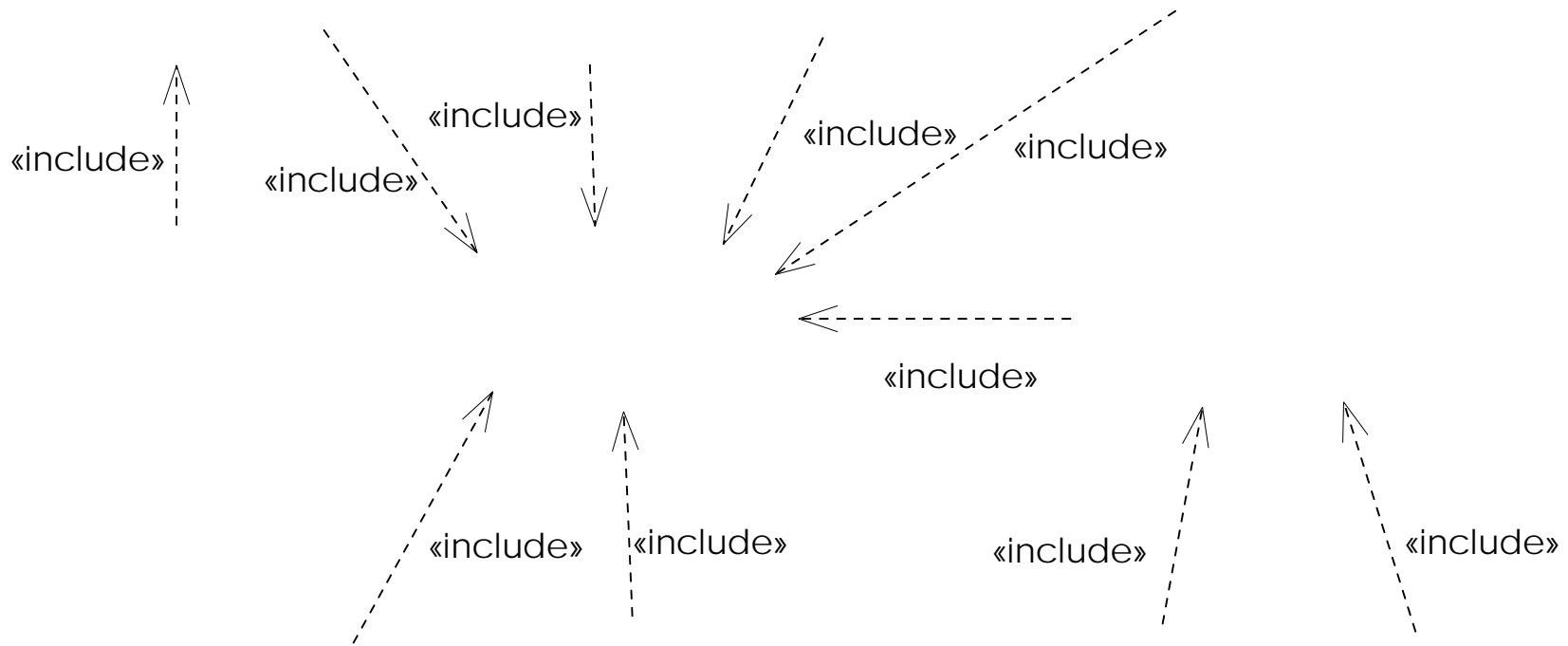




# Examples from the Project

Application of xUML and UTP in a safety-critical environment

# Test Map (not yet part of UTP)



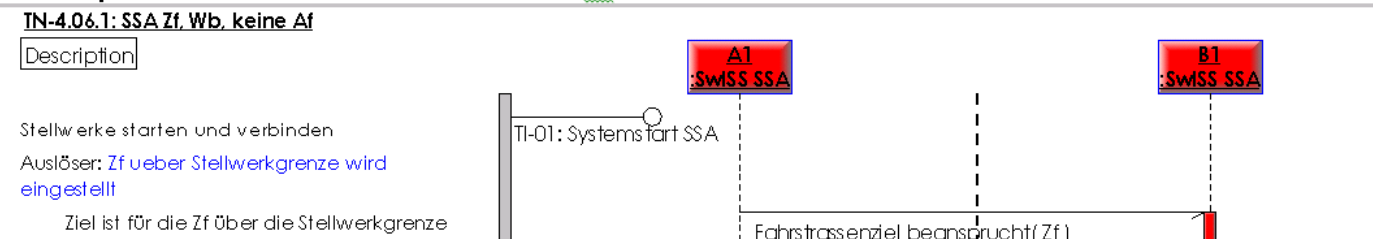
# Test Case (1)



## 4.2.1.1.2 Test Case "TN-4.06.1: SSA Zf, Wb, keine Af"

TN-4.06.1: SSA Zf, Wb, keine Af	
Description	Dieser Testcase prüft folgendes Szenario: <ul style="list-style-type: none"> <li>• Der <b>Stw-Übergang</b> wird durch eine <b>Zugfahrstrasse</b> beansprucht</li> <li>• Die ankommende Seite ist vom Typ <b>Wirkbereich</b></li> <li>• Es ist keine <b>Anschlussfahrstrasse</b> <b>Eingestellt</b></li> <li>• Die <b>Beanspruchung</b> wird wieder aufgelöst</li> </ul>
precondition	U-Projektierung von A1: Empfang Weggeschwindigkeit: true  U-Projektierung von B1: Typ der Zf-Stellwerkgrenze ankommend: Wirkbereich Ausgabe Weggeschwindigkeit: true  Stw Uebergang ist im Grundzustand Stw Uebergang ist im Grundzustand
postcondition	Stw Uebergang ist im Grundzustand Stw Uebergang ist im Grundzustand

### Test Sequence "TN-4.06.1: SSA Zf, Wb, keine Af"





# Traceability (2)



nur für internen Gebrauch



## Fahrwegssperren

Beschreibung	Der Zustand der Fahrwegssperre (Gleissperre) wird über die <a href="#">SwISS SS</a> Schnittstelle an das <a href="#">Nachbarstellwerk</a> übertragen.
Anforderungs-Id	SSSAF-0003
Anforderungstyp	functional
Priorität	muss
Bemerkungen	Anforderungen und Informationen SBB <a href="#">[PHFAP2010]</a> : Die Schnittstelle verfügt in der Regel über Fahrwegssperren. Diese Sperren sind in jedem der beiden Stellwerke als normale Befahrbarkeitssperren ausgeführt. Der Zustand der Sperre wird an das Nachbarstellwerk übertragen und neben der eigenen Sperre dargestellt. Beide Sperren werden für Fahrstrassen über diesen Gleisabschnitt berücksichtigt. Bedienbar ist nur die jeweils eigene Sperre.
Status	in Vernehmlassung
Quelle	<a href="#">[PHFAP2010]</a> , Abschnitt 2.3, Seite 10, Absatz 5
verifiziert durch	<a href="#">TUM-19: Sperre empfangen</a> , <a href="#">TUM-13: Quittierung Sperre empfangen</a> , <a href="#">TUK-20: Sperre senden</a> , <a href="#">TUK-14: Quittierung Sperre senden</a> , <a href="#">TN-4.10: SSA Sperre</a>



# Summary

Testing in the Requirements Engineering Phase



# Summary and Key Figures



## Summary

- SwISS is a **standard for interlocking interfaces**
- An **executable functional specification** based on xUML has been developed
- **UTP** has been applied in a **pragmatic way** to model test cases to verify the functional specification
- **Regression tests** have been carried-out using CASSANDRA/xUML

## Key Figures

- Issues found while developing the funct. specification: ~400
- Size of the functional specification IL-IL: ~100 pages
- Size of the test specification IL-IL: ~150 pages
- Number of test cases in test specification IL-IL: ~110
- Ø runtime of a test case in regression test: ~30 sec.